

**INVESTOR INFORMATION**

As an investor, you are responsible for selecting a form of ownership that complies with the laws of your state of residence. Consult your financial advisor or an attorney if you need assistance.

1. **Individual** – An account that represents one adult’s self-controlled investment.
2. **Joint Accounts** are owned by 2 or more adults. Since there are several options, please select a type of joint ownership on the New Account Form. If you do not, Joint Tenants with Rights of Survivorship will apply to your account.
  - Joint Tenants with Rights of Survivorship (JTWROS) – Each tenant owns all shares equally. Upon the death of a tenant, the surviving tenant(s) takes ownership of the account.
  - Tenants in Common (TEN COM) – Each tenant owns a divisible interest that may not be equal (e.g., 40% and 60%). Upon the death of owner, the survivor maintains ownership of his/her percentage and the descendant’s shares pass to his/her heirs. On the New Account Form, please enter the percentage of ownership next to each tenant’s name.
  - Tenants by the Entirety (TEN ENT) – This registration applies only in certain states between spouses and each has a full interest in the account. Upon the death of one, the surviving spouse takes ownership of the account.
3. **Uniform Gift to Minor’s Act or Uniform Transfer to Minor’s Act (UGMA or UTMA)** – One adult serves as custodian to oversee an investment for one minor. The Custodian has authority, controlling the account for the child’s benefit until the child reaches the age of majority.
4. **Trust Under Agreement or Will** – An agreement that appoints a Trustee to manage property in the best interest of another or to administer a Trust according to the terms of a Will. A copy of the trust or the trust document pages that identify the name of the trust, the date of the trust, the trustee(s) name, street, and mailing address, and the signature page of the trust must be provided to establish the account.
5. **Corporation, Partnership or Other Business Entity** –
  - **Corporation** – The word “Incorporated”, “Corporation”, or the abbreviation “PC” is included in the name of the organization. A Corporate Resolution or Certificate of Incumbency originally certified within the last 60 days must be provided to establish the account. If publicly traded, you must provide CUSIP Number, Ticker Symbol, and exchange. If not publicly traded, official documentation to verify the entity’s form of organization is required.
  - **Partnership** – The word “Partnership” is included in the name of the organization. Partnership document or equivalent document confirming the existence of the entity and the individuals who have authorization to trade on behalf of the account are required to establish the account. If the entity is registered with a state corporation agency, a Good Standing Certificate with certified stamp/seal of the appropriate state agency is acceptable.
  - **Retirement Plan**- Only to be used if it is **not** a Unified Financial Securities sponsored retirement account. Please provide documents confirming the existence of the entity and the authority of all individuals who are authorized to act on behalf of this account.
  - **Other Business Entity**- Including non-profit and non-exempt organizations. Please provide documents confirming the existence of the entity and the authority of all individuals who are authorized to act on behalf of this account. Contact us to determine if additional documentation is required to open your account type.

**NOTE: Please do not use this application for any type of retirement account. A separate account application is available for those types of accounts.**

**FUNDS SELECTION AND INITIAL INVESTMENT**

The initial investment minimum per each Fund is \$200. Please refer to the prospectus for additional information on the Fund’s minimums. Make your check payable to the Commonwealth International Series Trust. Third party checks, counter checks, starter checks, traveler’s checks, checks drawn on non-U.S. financial institutions, money orders, credit card checks, and cash are not acceptable. Cashier’s checks and bank official checks may be accepted in amounts greater than \$10,000.

**QUESTIONS?**

If you have any questions regarding this application or how to invest, please call Shareholder Services at 1-888-345-1898.



## NEW ACCOUNT APPLICATION

**IMPORTANT:** In compliance with the USA PATRIOT Act, Federal law requires all financial institutions (including mutual funds) to obtain, verify, and record information that identifies each person who opens an account.

**WHAT THIS MEANS FOR YOU:** When you open an account, we will ask for your name, Social Security Number (SSN) or Tax Identification Number (TIN), a physical address (a Post Office box is not acceptable), date of birth, and other information that will allow us to identify you. We may also ask for additional identifying documents. The information is required for all owners, co-owners, or anyone who will be signing or transacting on behalf of a legal entity that will own the account. If any of this information is missing we will not be able to process your investment request. If we are unable to verify this information, your account may be closed and you will be subject to all applicable costs. If you have any questions regarding this application or how to invest, please call Shareholder Services at 1-888-345-1898.

### PART I: INVESTOR INFORMATION (\*Denotes Required Information)

The completion of this section is *REQUIRED*.

- Please **do not** use this application for any type of retirement account. A separate account application is available for those types of accounts.
- To open any ONE of the following types of accounts – Please check the appropriate box.

Individual or Joint Account    Joint Tenants with Rights of Survivorship    Tenants in Common no Rights of Survivorship    Tenants by the Entirety  
 (the account will be registered as Joint Tenant with Rights of Survivorship unless you advise us otherwise or type of ownership is not permitted in your state)

Owner's Name* (First, M.I., Last)	Date of Birth*	Social Security Number*	
Street Address (Physical Address)* Apt #	City*	State*	Zip Code*
Mailing Address (if different than above) Apt #	City	State	Zip Code
Co-Owner's Name* (First, M.I., Last)	Date of Birth*	Social Security Number*	
Street Address (Physical Address)* Apt #	City*	State*	Zip Code*
Co-Owner's Name* (First, M.I., Last)	Date of Birth*	Social Security Number*	
Street Address (Physical Address)* Apt #	City*	State*	Zip Code*
Daytime Phone*	Evening Phone		

**PART I: INVESTOR INFORMATION-CONTINUED (\*Denotes Required Information)**

**Uniform Gift to Minor's Act or Uniform Transfer to Minor's Act (UGMA or UTMA)**

Custodian's Name* (First, M.I., Last)		Date of Birth*	Social Security Number*	
Street Address (Physical Address)*	Apt #	City*	State*	Zip Code*
Mailing Address (if different than above)	Apt #	City	State	Zip Code
Minor's Name* (First, M.I., Last)		Date of Birth*	Social Security Number*	
Street Address (Physical Address)*	Apt #	City*	State*	Zip Code*
Daytime Phone*		Evening Phone		

**NOTE:** Please list all individuals who will have authority to open and/or transact business for this account on behalf of the legal entity in whose name this account will be registered. You must provide the following information for each person listed on the account: Each individual's full name, date of birth, personal Taxpayer Identification Number (TIN), and physical residential address (a Post Office box is not acceptable).

**Trust Under Agreement or Will**

**Required** –A copy of the trust or the trust document pages that identify: The name of the trust, the date of the trust, the trustee(s) name, street, and mailing address, and the signature page of the trust.

This application must be signed and completed for all trustees. If you require additional space, please include information on a separate sheet of paper.

Name of Trust*		Date of Trust*	Tax Identification Number*	
Name of Trustee* (First, M.I., Last)		Date of Birth*	Social Security Number*	
Street Address (Physical Address)*	Apt #	City*	State*	Zip Code*
Mailing Address (if different than above)	Apt #	City	State	Zip Code
Daytime Phone*		Evening Phone		

**Co-Trustee, if any:**

Name of Trustee* (First, M.I., Last)		Date of Birth*	Social Security Number*	
Street Address (Physical Address)*	Apt #	City*	State*	Zip Code*
Mailing Address (if different than above)	Apt #	City	State	Zip Code
Daytime Phone*		Evening Phone		

**PART I: INVESTOR INFORMATION-CONTINUED (\*Denotes Required Information)**

**Corporation, Partnership, Retirement Plan, or Other Business Entity**

**Required** – All registrations require documentation confirming the existence of the entity and proof of the individuals who have authorization to act on behalf of this account along with these individuals identifying information. Please refer to the instruction sheet on the first page of this application for all other required identifying documentation.

This application must be signed and completed for all corporate officers whose signatures are required under the corporate by-laws and anyone authorized to place transactions on this account. If you require additional space, please include information on a separate sheet of paper.

**Type of Entity:**

Corporation       Partnership       Retirement Plan (Non-Unified Financial Securities, Inc. sponsored retirement accounts only)

Other: (specify) \_\_\_\_\_

If publicly traded, Exchange Number: \_\_\_\_\_ CUSIP: \_\_\_\_\_ Ticker Symbol: \_\_\_\_\_

\_\_\_\_\_  
Name of Corporation, Partnership or Other Entity\*      Entity's Tax Identification Number\*

\_\_\_\_\_  
Street Address (Physical Address)\*      Apt #      City\*      State\*      Zip Code\*

\_\_\_\_\_  
Mailing Address (if different than above)      Apt #      City      State      Zip Code

\_\_\_\_\_  
Name of First Authorized Signor\*      (First, M.I., Last)      Date of Birth\*      Social Security Number\*

\_\_\_\_\_  
Street Address (Physical Address)\*      Apt #      City\*      State\*      Zip Code\*

\_\_\_\_\_  
Name of Second Authorized Signor\*      (First, M.I., Last)      Date of Birth\*      Social Security Number\*

\_\_\_\_\_  
Street Address (Physical Address)\*      Apt #      City\*      State\*      Zip Code\*

\_\_\_\_\_  
Daytime Phone\*

**PART II: FUNDS SELECTION AND INITIAL INVESTMENT**

*The completion of this section is REQUIRED.*

Next to the fund name, indicate the amount of your investment. The initial investment minimum is \$200 per each Fund. Refer to the prospectus for additional purchase requirements. Redemption proceeds of shares purchased by check are not available for 15 calendar days.

Fund Choice	Investment		
Commonwealth Australia/New Zealand Fund	\$	or	%
Commonwealth Africa Fund	\$	or	%
Commonwealth Japan Fund	\$	or	%
Commonwealth Global Fund	\$	or	%
Commonwealth Real Estate Securities Fund	\$	or	%
	TOTAL:	\$	or      %

\*Note: If an account is opened with an Automatic Investment Plan (AIP) of at least \$100/month, then the account can be opened with no initial minimum investment.

### PART III: COST BASIS ELECTION

The Commonwealth International Series Trust is responsible for tracking and reporting to the IRS adjusted cost basis on covered shares for mutual fund purchases on or after *January 1, 2012*. We will apply the Commonwealth International Series Trust's default cost basis reporting method of Average Cost to all applicable funds and accounts that are not listed or for which a method is not selected.

**Option 1:**  I choose the following cost basis method for all funds under the above account number:

- |                                       |   |  |
|---------------------------------------|---|--|
| <input type="checkbox"/> Average Cost | <input type="checkbox"/> First In, First Out (FIFO) | <input type="checkbox"/> Last In, First Out (LIFO) |
| <input type="checkbox"/> High Cost    | <input type="checkbox"/> Low Cost                   | <input type="checkbox"/> Specific ID               |

**Option 2:**  I choose to apply the cost basis method(s) selected below to the following fund(s) held within the above account number:

- |            |                                       |   |  |
|------------|---------------------------------------|---|--|
| Fund _____ | <input type="checkbox"/> Average Cost | <input type="checkbox"/> First In, First Out (FIFO) | <input type="checkbox"/> Last In, First Out (LIFO) |
|            | <input type="checkbox"/> High Cost    | <input type="checkbox"/> Low Cost                   | <input type="checkbox"/> Specific ID               |
| Fund _____ | <input type="checkbox"/> Average Cost | <input type="checkbox"/> First In, First Out (FIFO) | <input type="checkbox"/> Last In, First Out (LIFO) |
|            | <input type="checkbox"/> High Cost    | <input type="checkbox"/> Low Cost                   | <input type="checkbox"/> Specific ID               |

**Average Cost** – Uses the average cost of the shares as the basis for redemptions to calculate capital gains and losses. To determine the holding period for the shares, average cost uses the First-In, First-Out method. The Average Cost method is available only for mutual funds.

**First-In, First-Out (FIFO)** – Shares acquired first in the account are the first shares depleted to determine cost basis.

**Last-In, First-Out (LIFO)** – Shares acquired last in the account are the first shares depleted to determine cost basis.

**High Cost** – Shares acquired with the highest cost per share in the account are the first shares depleted to determine cost basis.

**Low Cost** – Shares acquired with the lowest cost per share in the account are the first shares depleted to determine cost basis.

**Specific ID** – Shares specifically identified by the shareholder at the time of the sale are sold or redeemed first.

### PART IV: DIVIDEND AND CAPITAL GAINS OPTIONS

*The completion of this section is REQUIRED.*

If you do not mark one for each of the following selections, all dividends and capital gains will be reinvested in the same fund that paid them.

Dividends	Short-Term Capital Gains	Long-Term Capital Gains
<input type="checkbox"/> Reinvest	<input type="checkbox"/> Reinvest	<input type="checkbox"/> Reinvest
<input type="checkbox"/> Pay in CASH to my address of record	<input type="checkbox"/> Pay in CASH to my address of record	<input type="checkbox"/> Pay in CASH to my address of record
<input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part IX</i> )	<input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part IX</i> )	<input type="checkbox"/> Automatically deposit into my bank account (complete bank account <i>Part IX</i> )

### PART V: TELEPHONE TRANSACTION PRIVILEGES

*The completion of this section is OPTIONAL.*

Telephone instructions may be provided by any registered owner or the broker/dealer of record. Telephone requests for investments or withdrawals can be made on any day the Fund(s) are open for business. Requests must be received by the close of trading of the NYSE, normally 4 p.m. (Eastern) (Redemption proceeds of shares purchased by check are not available until payments for those shares are collectible. This may take up to fifteen (15) calendar days. To allow for on demand telephone investments or withdrawals by transferring money directly between your mutual fund and your bank account via ACH (Automated Clearing House) please *Complete Bank Account Information Part IX*.

Your account automatically includes telephone redemption privileges. In the case of telephone redemptions, a check will be mailed to the address and owners listed on your account, unless instructed to go via ACH to the bank information provided in *Part IX*.

Please check the box below if you **DO NOT** want these privileges.

By checking this box, you DO NOT authorize Commonwealth International Series Trust to accept and act upon telephone instructions from any registered owner or the broker/dealer of record for the redemption of shares.

**PART VI: ELECTRONIC COMMUNICATION**

The completion of this section is *OPTIONAL*.

You can enjoy the convenience of receiving annual reports, transaction confirmations, and account statements online rather than having them mailed to you. You'll get your information faster while receiving fewer bulky mailings to fill your mailbox. Plus you'll help the environment and reduce the funds' expenses. To opt-in, simply check the box below and provide your email address.

When documents are available, you will receive an email message informing you of their availability online. For investment confirmations and account statements, the email will provide a link to our secure site where you will be prompted to sign in. You may then view your confirmation or account statement by clicking on 'Statements' at the top of the page. To review new prospectuses, annual and semi-annual reports, you will be sent an email with an attached document in PDF format for download.

Commonwealth International Series Trust may change this notification without prior notice at any time. You may at any time request a paper copy of the Funds' prospectus, annual and semi-annual reports, or statements by calling us Monday through Friday, 9:00AM to 5:00PM Eastern Time, at 1-888-345-1898, or writing us at Commonwealth International Series Trust, PO Box 6110, Indianapolis IN 46206.

**Authorization to discontinue mailing of paper statements** - By checking this box, I authorize Commonwealth International Series Trust to send my shareholder communications electronically to the email address I am providing, rather than mailing me paper copies of these communications. I understand that I may discontinue electronic services and start receiving paper statements at my discretion.

Email Address: \_\_\_\_\_

**PART VII: AUTOMATIC INVESTMENT PLAN**

The completion of this section is *OPTIONAL*.

**Automatic Investment Plan** - This option provides an automatic investment into your mutual fund(s) by transferring money directly from your bank account via ACH\* (Automated Clearing House) on a scheduled basis. Please refer to the funds prospectus for other account restrictions. Please provide all of your bank account information **AND** attach a voided check or deposit slip where requested in *Part IX*.

I authorize Commonwealth International Series Trust to initiate investments into my mutual fund account according to the following frequency:

Twice Each Month       Monthly

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month.) \_\_\_\_\_

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

**PART VIII: SYSTEMATIC WITHDRAWAL PLAN**

The completion of this section is *OPTIONAL*.

**Systematic Withdrawal Plan** - This option provides a systematic withdrawal of money from your mutual fund(s). Money can be sent to your address of record or transferred to your bank account via ACH (Automated Clearing House). For transfers sent to your bank account please provide all of your bank account information **AND** attach a voided check or deposit slip where requested in *Part IX*.

**Systematic Withdrawal Plan to my Address of Record**

**Systematic Withdrawal Plan via ACH to my Bank Account (complete *Part IX*)**

I authorize Commonwealth International Series Trust to initiate withdrawals from my mutual fund account as described below:

Annually     Semi-Annually     Quarterly     Twice Each Month     Monthly     Other (Check months below)

January       February       March       April       May       June

July       August       September       October       November       December

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

Fund \_\_\_\_\_ Amount \$ \_\_\_\_\_ Day of Month (15<sup>th</sup>, End of month) \_\_\_\_\_

\*Redemption proceeds of fund shares purchased via ACH are not available for a period of fifteen (15) calendar days.

**PART IX: BANK ACCOUNT INFORMATION**

Bank Name \_\_\_\_\_

ABA Number (if known) \_\_\_\_\_

Bank Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip \_\_\_\_\_

Name(s) on Bank Account \_\_\_\_\_

Bank Account Number \_\_\_\_\_

Name(s) on Bank Account \_\_\_\_\_

Please attach one voided check or deposit ticket.

Checking

Savings

John and Jane Doe 123 Any Street Anytown, USA 12345	Date _____	1003
PAY TO THE ORDER OF _____	Tape your voided check or preprinted deposit slip here.  Please do <u>not</u> use staples to attach it.	\$ <input type="text"/>
BANK NAME BANK ADDRESS		_____ DOLLARS
MEMO _____	_____	
0: 123456789: 00 123456789 00 : 1003		

**PART X: DUPLICATE ACCOUNT STATEMENT**

Yes, please send a duplicate account statement to:

Name \_\_\_\_\_

Street Address \_\_\_\_\_

Apt # \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

**PART XI: SIGNATURE**

The completion of this section is **REQUIRED**.

By signing this form, I represent and warrant that: (a) I am of legal age in my state of residence and wish to purchase shares of the Fund(s) as described in the current Prospectus; and (b) I have the full right, power and authority to invest in the Fund (s); and (c) I have received a current Prospectus of the Funds and agree to be bound by its terms; and (d) I understand that no certificates will be issued and that my confirmation statement will be evidence of my ownership of fund shares; and (e) I understand that my property may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.

**I certify that I am eligible to engage in the cost basis method election(s) requested on this form and that I have the authority to act on the account(s). I also assume complete responsibility for the tax consequences of the cost basis method election(s) I have made.**

**Under penalties of perjury, I certify that: (1) the number shown on this form is my correct taxpayer identification number, and (2) I am not subject to backup withholding because: (i) I am exempt from backup withholding, or (ii) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (iii) the IRS has notified me that I am no longer subject to backup withholding, and (3) I am a U.S. citizen.**

**Certification Instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividend, you are not required to sign the Certification, but you must provide your correct TIN. (See IRS Form W-9, which is available from the Funds, for more information).

Persons signing as representatives or fiduciaries of corporations, partnerships, trusts or other organizations are certifying that each person listed below are authorized to effect securities transactions on behalf of the Investor (alternatively, the secretary or designated officer of the organization must certify the authority of the persons signing on the space provided below).

X   
Shareholder, Custodian, Trustee, or Authorized Officer      Date

X   
Shareholder, Custodian, Trustee, or Authorized Officer      Date

X   
Shareholder, Custodian, Trustee, or Authorized Officer      Date

X   
Shareholder, Custodian, Trustee, or Authorized Officer      Date

\*Note: All account owners and authorized signers must sign above.

**FOR DEALER USE ONLY**

Financial Institution Name \_\_\_\_\_

Representative's Full Name \_\_\_\_\_

Address \_\_\_\_\_

Representative's Branch Office Telephone Number \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

Dealer Number \_\_\_\_\_

Branch Number \_\_\_\_\_

Representative Number \_\_\_\_\_

X   
Representative's Signature

X   
Supervisor's Signature

**APPLICATION CHECKLIST**

- Completed all required sections of the application (*Parts I, II, III, XI*)
- Provided account owner name, residential address, date of birth and Social Security Number or Tax Identification Number for all individuals listed on the application
- Included all identifying documents for non-individuals or entity registrations
- Enclosed check which meets the fund minimums and is made payable to Commonwealth International Series Trust
- Provided all required signatures
- Completed bank information for Automatic Investment Plan or Automatic Withdrawal Plan via ACH options and enclosed a preprinted voided check or savings deposit slip

**MAILING INSTRUCTIONS**

Please send completed form to:

**Regular Mail Delivery**  
Commonwealth International Series Trust  
P.O. Box 46707  
Cincinnati, OH 45246

**Overnight Delivery**  
Commonwealth International Series Trust  
225 Pictoria Dr, Suite 450  
Cincinnati, OH 45246